DEPARTMENT OF CHILDREN AND FAMILIES Individual Credentialing Application to Provide Services

Assessment Services

Applicant Check Sheet

Applicants must provide the following:

- Completed and Signed Credentialing Application;
- Completed DCF Area Office Listing Chart;
- Current Curriculum Vitae with a minimum of three (3) years clinical work history providing assessments for children and adolescents indicated by month and year;
- Completed and Signed Professional Review Questionnaire;
- Signed Consent Form for Release of Confidential Disciplinary Records;
- Copy of Current License;
- Copy of Current Malpractice Insurance with coverage limits of \$1 million per occurrence and \$3 million aggregate;
- \Box Completed and signed IRS form <u>W-9</u>;
- Copies of Background Checks which cannot be dated longer than 6 months prior to application:

____CPS ____Dept. of Public Safety

- Must submit a color photograph from shoulders up taken against a solid background.
 Photo must be scanned in jpg format and emailed to <u>badgephoto@abhct.com</u>.
- Signed Provider Agreement for <u>Assessment Service</u>

Send completed applications to:

Advanced Behavioral Health Attn: DCF Credentialing Department Middlesex Corporate Center, 213 Court Street, Middletown, CT 06457 Phone: (860) 638-5309 Fax: (860) 920-4457 Email: DCFCred@abhct.com

Individual Assessment Application Check Sheet 10-04-2018

DEPARTMENT OF CHILDREN AND FAMILIES INDIVIDUAL PROVIDER CREDENTIALING APPLICATION

Assessment Services

I. Evaluator Information

Evaluator Name:		
Address (street, suite #, etc.)		
P.O. Box:		
City:	State:	Zip:
Phone #: ()	Fax #: ()	E-Mail:
Tax ID #:	Name of Owner of thi	s Tax ID:
Social Security Number:		
Address to which payments a	are to be sent:	Same as Above
Phone # / Fax # / E-Mail Add	ress for Billing Purposes:	Same as Above
If different address or cont	act information:	
Address (street, suite #, etc.)		
City:	State:	Zip:
Phone #: ()	Fax #: <u>()</u>	E-Mail:
II. Licensure		
State License Registration N	umber:	Expiration Date:
Are there any conditions that have been If your answer is Yes, please provide a		NO YES sheet of paper and attach to this application.
III. Malpractice Insura	ince Coverage	
Current Company:		
Address:		
City:	State:	Zip:
Policy Number:		
Effective Date of Coverage:		Exp. Date:
Date that coverage with this	carrier first began:	
Limits of Coverage: Per Occ	urrence \$M	Aggregate \$M
*** PLEASE PROVIDE	A COPY OF YOUR CURRE	NT MALPRACTICE INSURANCE *

IV. Languages Spoken

□ Chinese	□ Croatian	□ Czech	□ English	🗆 Filipino	□ French
🗆 German	□ Haitian	□ Hebrew	□ Hmong	🗆 Italian	□ Japanese
🗆 Korean	□ Polish	Portuguese	e 🗆 Russian	□ Serbian	□ Sign
□ Slovak	□ Spanish	□ Yugoslav	□ Vietnamese	e 🗆 Other	
V. Spec	ial Expertise				
Evaluation Ex	xpertise:				
□ Learning d	lisabilities	Cognitive in	mpairment	□ Alcohol/dru	g abuse
□ Young chil	dren	□ Adolescent	S	□ Autism spe	ctrum disorders
Other		Other		Other	

VI. **Voluntary Information:**

Clients and family members often express preferences for an evaluator of a particular ethnic background or gender. Your completion of the information below will allow DCF to be more responsive when such a preference is requested. If you volunteer to provide the following information, it will only be used when a client or family member indicates such information is important in selecting an evaluator. The information collected will not be released to any other party, except in aggregate form.

Ethnic background:

- □ African American/Black
- □ Asian/Pacific Islander
- □ Caucasian/White
- Native American/Eskimo
- Puerto Rican
- Other (not listed above) _____

Gender:

□ Female □ Male

PROFESSIONAL REVIEW QUESTIONNAIRE

(Must be completed by each licensed behavioral health practitioner providing Assessments or Assessment Services: Perpetrator of Domestic Violence)

Please answer the following questions by placing a check mark in the appropriate category. If you answer "yes" to any of the questions please provide a detailed explanation on a separate sheet of paper (EXCEPTION: Question #13).

		YES	NO	IN/A
1.	Has your license to practice your profession in any jurisdiction ever been refused, limited, suspended, revoked or voluntarily			
	relinquished?			
2.	Has any action(s) ever been taken against you by the Licensing Board of any state?			
3.	Has your DEA registration to prescribe controlled substances ever been limited, suspended, revoked or voluntarily relinquished?			
4.	Have your privileges in any hospital ever been suspended, diminished, revoked, or not renewed involuntarily or voluntarily?			
5.	Have you ever been reprimanded by, or had your membership refused, suspended, or revoked by any professional organization?			
6.	Have you ever been named as a party in a malpractice action?			
7.	Have any claims ever been made against you for professional negligence or malpractice?			
8.	Have you ever been convicted of a crime other than a minor traffic offense?			
9.	Are you currently using illegal drugs?			
10.	Do you have any physical, mental, or addictive problems that may			
	interfere with your ability to carry out the duties and responsibilities of your profession?			
11.	Have you ever been denied professional liability insurance, or has your policy ever been revoked, canceled, or voluntarily relinquished under a threat of cancellation?			
12.	Have you ever been the subject of investigation by any peer review committee?			
13.	Are you able to perform all of the services being requested in this application according to accepted standards of professional performance and without posing a direct threat to clients or others?			
14.	Are you, your partner(s), or any member of your family involved with, employed by, or part of an investigation with the Department of Children and Families (DCF)?			

My signature certifies that I have answered all questions accurately, completely and to the best of my ability. I understand that any misrepresentation or false statement can result in my being withdrawn from the DCF list of providers as well as possible recourse through the Connecticut Department of Public Health.

Signature

Date

Printed Name

Date of Birth

Consent Form Release of Confidential Disciplinary Records

(Must be completed by each licensed behavioral health practitioner providing Assessment Services)

I hereby give my consent and authorization for the Department of Public Health, Division of Medical Quality Assurance, to confirm the existence of any pending complaints and to release any records of disciplinary actions to the Department of Children and Families or Advanced Behavioral Health.

Please list any documents that the Department is not authorized to release:

Signature

Printed or Typed Name

Date of Birth

Date

Address

Connecticut License Number

Expiration Date

DCF Area Office Listing Chart

Please indicate which DCF Area Offices you would like to receive referrals from (check all that apply).

Bridgeport	
Danbury	
Hartford	
Manchester/Rockville	
Meriden	
Middletown	
Milford	
New Britain	
New Haven	
Norwalk	
Norwich	
Torrington	
Waterbury	
Willimantic	

CERTIFICATION AND AUTHORIZATION

DCF has contracted with Advanced Behavioral Health, Inc. (ABH[®]) as the credentialing vendor for the DCF Credentialing Program. ABH will assist DCF in facilitating the provider application process. For purposes of making this application to become a participating DCF provider, the Applicant certifies that all information provided to DCF or ABH is true and correct to the best of the Applicant's knowledge and belief. The Applicant agrees to notify DCF or ABH promptly if there are any material changes in the information provided, whether prior to or after acceptance as a DCF provider. The Applicant understands and agrees that if DCF or ABH determines that this application contains any significant misstatements, misrepresentations or omissions, DCF's acceptance of this application for participation and any subsequent participating provider agreement which DCF enters into with the Applicant may be void at DCF's sole discretion.

The Applicant hereby authorizes the release to DCF or ABH of any information held by any person, entity or governmental agency which DCF or ABH determines may have relevant information for purposes of evaluating this original application or any recredentialing information. The Applicant agrees to hold any such person, entity or governmental agency providing information to DCF or ABH harmless from any liability for providing such information.

The Applicant hereby further authorizes DCF or ABH to release any and all information related in any way to the Applicant's professional practice to any person, entity or governmental agency which: (a) provides DCF or ABH with an authorization signed by the Organization; or (b) has a legal right to know under any state or federal law. The Applicant agrees to hold DCF and ABH harmless from any liability for providing such information as specified herein.

The Applicant understands and agrees that the certifications, authorizations, and other provisions contained herein shall remain in force for as long as this application is pending and, if accepted for participation, for as long as the Applicant's provider agreement with DCF remains in force.

The Applicant further understands and agrees that (a) the Applicant has the burden of producing all information required or requested by DCF or ABH in connection with this application; and (b) DCF or ABH is under no obligation to complete the processing of this application until such information is provided by the Applicant.

Name of Applicant (Please type or print)

Authorized Signature

Date

Name (Please type or print)

Title (Please type or print)



DEPARTMENT OF CHILDREN AND FAMILIES

CONFIDENTIALITY STATEMENT AND ETHICS AGREEMENT

Note: For Organizations: Each employee who will have access to clients or client records will sign the confidentiality agreement. It is to be kept by the agency so that DCF and or the Judicial Branch can verify if needed. The Ethics Agreement is to be signed by the Executive Director of the agency and returned to ABH®. Solo Providers are to complete both forms and submit to ABH®.

I. CONFIDENTIALITY STATEMENT:

I, ______, understand that I am being granted access to confidential information that is the property of the adult client or the parent or legal guardian of the minor client which may include the State of Connecticut Department of Children and Families ("DCF") and/or the Connecticut Judicial Branch. I am a/an:

 \Box consultant

□ employee of the following DCF or Judicial Branch service provider

□ other authorized user _____

By signing this document, I understand and agree as follows:

1. In the course of providing services to and/or performing my duties I may have access to hard copy and/or electronic confidential DCF, Judicial Branch or family case information. "Confidential information" includes, but is not limited to, client names, client contact information, juvenile court history, documents received from third parties regarding clients' cases, and all details of clients' cases whether received in oral, documentary or electronic form.

2. I will not solicit confidential information from any source beyond what is necessary to perform my duties.

3. I will not discuss confidential information in any setting or forum except when performing tasks directly related to my duties.

4. I will not discuss confidential information with any person who is not employed by the referring agency, unless specifically authorized to do so for purposes of performing my duties.

5. I will only discuss confidential information with authorized persons in an area where privacy can be ensured. For example, confidential information will not be discussed in public or semipublic areas including hallways, waiting rooms, elevators and restaurants.

6. I will not distribute confidential information in any written or documentary or electronic format to anyone unless specifically authorized to do so, as appropriate, for purposes of performing my duties. This specifically includes, but is not limited to, use of DCF, family case information, or Judicial Branch information in a research project or written publication.

7. If I recognize the name of an adult or child client with whom I have a personal or business relationship not connected with my duties, I will immediately notify the referral agent and will not read additional information or access the case further without written approval.

8. I will not remove any confidential information, either physically or electronically, from workspace operated by the Department of Children and Families, the Judicial Branch, or any provider, unless expressly authorized in writing.

9. I will return all confidential information in my possession upon the completion of my duties, and I will not keep any copies of any information, in any format, to which I have gained access.

10. I understand that Connecticut General Statutes §17a-28 addresses the confidentiality of DCF case records and states, in part:

"... The information contained in reports and any information relative to child abuse, wherever located, shall be confidential..."

"...Any violation of this section...shall be punishable by a fine of not more than one thousand dollars or imprisonment for not more than one year." 11. I understand that I may be subject to the above-cited criminal penalty if I illegally disclose confidential information.

12. I understand that I may also be subject to a civil lawsuit if I illegally disclose confidential information.

13. I understand that if I am sued for a willful or negligent breach of confidentiality, DCF or Judicial Branch shall not be responsible for any costs or damages associated with said suit.

14. For DCF and CSSD families, I understand that my access privileges to confidential information will expire twelve (12) months from the date I sign this Agreement unless an authorized DCF Manager requests that my access privileges be renewed for another twelve (12) months. If my access is renewed, the provisions of this Agreement will remain in full force and effect even if I am not asked to sign a new Confidentiality Agreement.

15. I understand that even after my access privileges expire, and even after I am no longer providing services, the provisions of this Confidentiality Agreement remain in full force and effect indefinitely, including my potential civil and criminal liability for breach of confidentiality.

[Signature of person being granted access]

[Print name of person being granted access]

Date

Witness



II. ETHICS AGREEMENT:

I ______ have reviewed the <u>Guide to the</u> <u>Code of Ethics For Current or Potential State Contractors</u> which can be found at:

http://www.ct.gov/ethics/lib/ethics/guides/contractors_guide_09_final.pdf

I agree to comply with those provisions of the Guide that apply to my relationship with DCF and the Judicial Branch.

[Signature of person serving as a DCF or Judicial Branch vendor or contractor]

[Print name of person serving as a DCF or Judicial Branch vendor or contractor]

Date

Witness



STATE OF CONNECTICUT DEPARTMENT OF PUBLIC SAFETY DIVISION OF STATE POLICE BUREAU OF IDENTIFICATION



STATE OF CONNECTICUT CRIMINAL HISTORY RECORD REQUEST FORM (PLEASE TYPE OR PRINT CLEARLY)

Check Type of Background Search Requested:

() Conn. Only search by Name/Date of Birth-\$36 (will only provide existence of a record and not actual record)

(X) Conn. Only Criminal Conviction History Record Search-\$75.00 (Name/DOB Search will provide a copy only if a record exists)

() Conn. Only Criminal Conviction History Record searched by Fingerprint-\$75.00*

*Fingerprinting completed at a Connecticut State Police location - \$15.00

ATTN: DCF Credentialing Department 213 Court St., Requesters Address: Middletown State: CT Zip: 06457 Phone Number: 860.638.5309	Name	of Requester:_	ADVANCED B	EHAVI	ORAL	HEALTI	H, INC. Date:	
-	Reque	esters Address	ATTN: DCF	F Crede	entialir	ng Depar	tment 213 Court St.	,
	-							860.638.5309
1. Print full name and date of birth, maiden or alias names for each subject requested.								

- 2. If a fingerprinted criminal history record check is required submit a Fingerprint card along with this form.
- 3. Enclose a Check or Money Order for the applicable amount made payable to: "Treasurer-State of CT"
- 4. If you are requesting more than one name please submit <u>one check</u> for the total dollar amount of all subjects requested. A separate form will be required for each search requested.
- 5. Mail Request with Check or Money Order to:

DESPP-SPBI 1111 Country Club Road Middletown, CT 06457-2389

Subject's Last Name

First

(Middle)

___/__/ Date of Birth

List any alias or maiden names and dates of births used:

The result of this search is based on name and date of birth or fingerprint card submission and contains State of Connecticut criminal conviction history record information ONLY. Please be advised that the criminal history record information may change daily due to erasures, corrections, pardons or other modifications to individual criminal history record information, the Department of Emergency Services and Public Protection (DESPP) cannot guarantee the accuracy of the information except with respect to the date the information is disclosed or obtained. DESPP and the State of Connecticut are not responsible for any errors or omissions resulting from subsequent dissemination of this data. The subject and/or requester assumes all liability in the use of data obtained from this database.

*A COPY OR FACSIMILE OF THIS FORM CAN BE USED.

Phone: (860) 685-8480 Fax: (860) 685-8361 1111 Country Club Road Middletown, CT 06457-2389 *An Equal Opportunity Employer*

CPS Background Check Processing

*As of August 30th DCF will no longer be accepting CPS background checks via email, mail, or

fax. Please see message and links below regarding the new BGC Portal. For credentialing purposes, all providers will need to access this information as you can no longer send to ABH unprocessed background checks for us to send on to the Careline unit for processing. Once you receive the result of the background check from DCF, please forward the response to ABH.

Please read the notice from the DCF Careline unit below relating to DCF CPS background checks:

To Whom it may concern:

I am reaching out to you regarding some exciting changes that are happening within the background check unit here at the Department of Children and Families. We have implemented a new portal that will allow for easier submission of checks and in many cases a faster return of results for your agency. Our goal is to improve upon our current system and make a more streamlined process for both the applicants and the agency's requesting the checks.

We are asking any agencies that will require more than one individual to submit and access results, set up a shared email as you can only have one email address assigned to each token. Once we receive the shared email or confirmation that there is only one person accessing the portal we will provide you your token code.

*We are requiring that agencies send us copies of the forms they have submitted to the portal to <u>dcf.backgroundcheck@ct.gov</u>. This can be done on a weekly basis and will not delay processing times. When sending us copies please use "Copy of Signed Release of Information" in the subject line.

We have included training materials and videos for your review as we begin this transition. As always please feel free to reach out to the background check unit with any questions or concerns you may have.

Link to Portal: https://portal.dcf.ct.gov/Portal/Main/#dashboard

Regards,

DCF/Background Check Unit 505 Hudson Street Hartford, CT 06106 ► Go to www.irs.gov/FormW9 for instructions and the latest information.

	2 Business name/disregarded entity name, if different from above	
e. Is on page 3.	following seven boxes.	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any)
Print or type. Specific Instructions	LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.	Exemption from FATCA reporting code (if any)
See Spe	Other (see instructions) ▶ /² 5 Address (number, street, and apt. or suite no.) See instructions. Requester's name and	,, ,
S	6 City, state, and ZIP code	
	7 List account number(s) here (optional)	
Par	t I Taxpayer Identification Number (TIN)	

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid Social security number backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see How to get a TIN, later. or Employer identification number Note: If the account is in more than one name, see the instructions for line 1. Also see What Name and

Number To Give the Requester for guidelines on whose number to enter.

Certification Part II

Under penalties of perjury, I certify that:

- 1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- 3. I am a U.S. citizen or other U.S. person (defined below); and
- 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign	Signature of
Here	U.S. person >

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpaver identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

• Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)

Date 🕨

- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest),
- 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.